

AP33635 (067668.0104)

#4
KW8
6-7-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kravitz
Serial No. : 10/015201 Examiner: To be assigned
Filed : October 19, 2001 Group Art Unit: To be assigned
For : SYSTEM AND METHOD FOR MANAGING TRUST
BETWEEN CLIENTS AND SERVERS

RECEIVED

MAR 28 2002

Technology Center 2100

SUPPLEMENTARY INFORMATION DISCLOSURE STATEMENT

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231

February 14, 2002

Date of Deposit

Jeffrey D. Sullivan

Attorney Name

43,170

PTO Registration No.

Jeffrey D. Sullivan
Signature

February 14, 2002
Date of Signature

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The Applicant, by his attorneys, hereby brings the following documents to the attention of the Examiner in connection with the examination of the above-captioned patent application:

Patel, B., et al., "Ticket based service access for the mobile user," 1997,

Proceedings of Mobicom '97, Budapest, Hungary. Full text available through ACM

03/05/2002 JADD01 00000058 10015201

01 FC:126

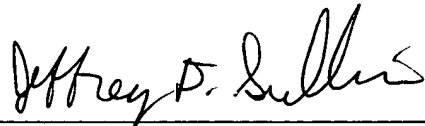
180.00 OP

Digital Library Document Delivery Service at <http://portal.acm.org/dl.cfm?CFID=915821&CFTOKEN=26102377>.

A PTO-1449 form and a copy of each of the above-listed documents is enclosed.

No Official Action on the merits has been mailed for this application that Applicant or his attorneys are aware of. Accordingly, no fee is believed to be necessary for consideration of this Supplementary Information Disclosure Statement. However, the Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 02-4377.

Respectfully submitted,



Jeffrey D. Sullivan
Patent Office Reg. No. 43,170

Agent for Applicants
(212) 408-2589

Enclosures

Serial No.
10/015201

SUPPLEMENTARY INFORMATION
DISCLOSURE STATEMENT
BY APPLICANT
(Use several sheets if necessary)

Filing Date
October 19, 2001

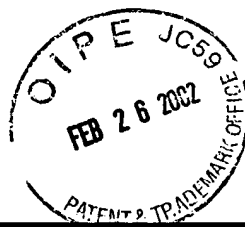

Group
to be assigned

[illegible][illegible]

| | | | |
|--|--|--|--|
| | | | Patel, B., et al., " <i>Ticket based service access for the mobile user</i> ," 1997, Proceedings of Mobicom '97, Budapest, Hungary. Full text available through ACM Digital Library Document Delivery Service at http://portal.acm.org/dl.cfm?CFID=915821&CFTOKEN=26102377 . |
| | | | |

Date Considered

* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Please type a plus sign (+) inside this box → 

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 10/015,201

Filing Date October 19, 2001

First Named Inventor Kravitz, D.

Group Art Unit

Examiner Name

Attorney Docket Number AP33635

Total Number of Pages in This Submission

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ENCLOSURES (check all that apply)

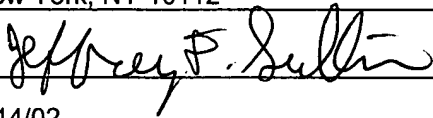
- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Other Enclosure(s) (please identify below)

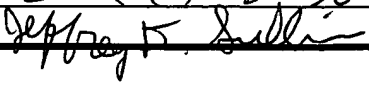
Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

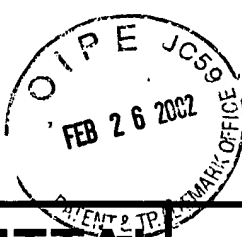
| | | | |
|-------------------------|---|-----------|---------------------|
| Firm or Individual name | BakerBotts LLP 30 Rockefeller Plaza New York, NY 10112 | | |
| Signature |  | Att Name: | Jeffrey D. Sullivan |
| Date | 2/14/02 | PTO Reg: | 43,170 |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 2/14/02

| | | | |
|-----------------------|---|------|---------|
| Typed or printed name | Jeffrey D. Sullivan | | |
| Signature |  | Date | 2/14/02 |

BAKER BOTTS LLP


 21610410 #
 03/02/02

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number 10/015,201

Filing Date October 19, 2001

First Named Inventor Kravitz, D.

Examiner Name

Group Art Unit

Attorney Docket No. AP33635

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Technology Center 2100

TOTAL AMOUNT OF PAYMENT (\$ 180)

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

02-4377

Deposit Account Name

Baker Botts LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

| Fee (\$) | Fee (\$) | Fee Description | Fee Paid |
|----------|----------|------------------------|----------|
| 740 | 370 | Utility filing fee | |
| 330 | 165 | Design filing fee | |
| 510 | 255 | Plant filing fee | |
| 740 | 370 | Reissue filing fee | |
| 160 | 80 | Provisional filing fee | |

SUBTOTAL (1) (\$ 0)

2. EXTRA CLAIM FEES

| | | Extra Claims | Fee from below | Fee Paid |
|--------------------|------------------------------|--------------------------|----------------------|--------------------------|
| Total Claims | <input type="text"/> - 20 ** | = <input type="text"/> X | <input type="text"/> | = <input type="text"/> 0 |
| Independent Claims | <input type="text"/> - 3 ** | = <input type="text"/> X | <input type="text"/> | = <input type="text"/> 0 |
| Multiple Dependent | | | <input type="text"/> | = <input type="text"/> |

Large Entity Small Entity

| Fee (\$) | Fee (\$) | Fee Description |
|----------|----------|--|
| 18 | 9 | Claims in excess of 20 |
| 84 | 42 | Independent claims in excess of 3 |
| 280 | 140 | Multiple dependent claim, if not paid |
| 84 | 42 | ** Reissue independent claims over original patent |
| 18 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description | Fee Paid |
|-----------------------|-----------------------|--|----------|
| 130 | 65 | Surcharge - late filing fee or oath | |
| 50 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 130 | 130 | Non-English specification | |
| 2,520 | 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 920* | 920* | Requesting publication of SIR prior to Examiner action | |
| 1,840* | 1,840* | Requesting publication of SIR after Examiner action | |
| 110 | 55 | Extension for reply within first month | |
| 400 | 200 | Extension for reply within second month | |
| 920 | 460 | Extension for reply within third month | |
| 1,440 | 720 | Extension for reply within fourth month | |
| 1,960 | 980 | Extension for reply within fifth month | |
| 320 | 160 | Notice of Appeal | |
| 320 | 160 | Filing a brief in support of an appeal | |
| 280 | 140 | Request for oral hearing | |
| 1,510 | 1,510 | Petition to institute a public use proceeding | |
| 110 | 55 | Petition to revive - unavoidable | |
| 1,280 | 640 | Petition to revive - unintentional | |
| 1,280 | 640 | Utility issue fee (or reissue) | |
| 460 | 230 | Design issue fee | |
| 620 | 310 | Plant issue fee | |
| 130 | 130 | Petitions to the Commissioner | |
| 50 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 180 | 180 | Submission of Information Disclosure Stmt | 180 |
| 40 | 40 | Recording each patent assignment per property (times number of properties) | |
| 740 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 740 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 740 | 370 | Request for Continued Examination (RCE) | |
| 900 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 180)

SUBMITTED BY

Name (Print/Type)

Jeffrey D. Sullivan

Registration No. (Attorney/Agent)

43,170

Complete (if applicable)

Telephone

212/48-2500

Signature

Jeffrey D. Sullivan

Date

2/14/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.